

**ANNEXURE -1****Form No. MGT-9
EXTRACT OF ANNUAL RETURN****As on the financial year ended on 31-03-2021**

Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and administration) Rules, 2014

I. REGISTRATION AND OTHER DETAILS

i)	CIN	U40101ML1976GOI001658
ii)	Registration Date	02-04-1976
iii)	Name of the Company	NORTH EASTERN ELECTRIC POWER CORPORATION LIMITED
iv)	Category / Sub-Category	Government Company
v)	Address of the Registered office and contact details	Brookland Compound, Lower New Colony, Shillong – 793 003, Meghalaya Telephone : (0364) 2308470
vi)	Whether listed company Yes / No	Equity Shares not listed. PSU Bonds are listed in BSE Limited
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Equity – Not applicable. Bonds – KFin Technologies Private Limited Karvy Selenium Tower B, Plot number 31 & 32, Financial District Gachibowli Hyderabad 500 032
viii)	Web Address	www.neepco.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% of total turnover of the company
1	Generation of Power	351	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Section
1	NTPC Limited, NTPC Bhawan, SCOPE Complex, 7 Institutional Area, Lodhi Road, New Delhi - 110003	L40101DL1975GOI007966	Holding	100%	2(46)
2	KSK Dibbin Hydro Power Private Limited, 8-2-293/82/A/431/A, ROAD NO.22, JUBILEE HILLS, HYDERABAD TG 500033 IN	U40108TG2007PTC053501	Associate	30%	2(6)



IV. SHARE HOLDING PATTERN (Equity Share capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual / HUF									
b) Central Govt.									
c) State Govt.									
d) Bodies Corp	3609810400	-	3609810400	100%	3609810400	-	3609810400	100%	
e) Banks / FI									
f) Any other									
Sub-total (A) (1)	3609810400	-	3609810400	100%	3609810400	-	3609810400	100%	
(2) Foreign									
a) NRIs – Individuals									
b) Other Individuals									
c) Bodies Corp.									
d) Banks / FI									
e) Any other									
Sub-total (A)(2)									
B. Public Shareholding									
1. Institutions									
a) Mutual Funds									
b) Banks / FI									
c) Central Govt.									
d) State Govt.									
e) Venture Capital Funds									
f) Insurance Companies									
g) FIIS									
h) Foreign Venture Capital Funds									
i) Others (specify)									
Sub-total (B)(1)									
2. Non-Institutions									
a) Bodies Corp.									
i) Indian									
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto ₹1 lakh									
iii) Individual shareholders holding nominal share capital in excess of ₹1 lakh									
Sub-total (B)(2)									
Total Public shareholding (B)=(B)(1)+(B)(2)									
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)	3609810400	-	3609810400	100%	3609810400	-	3609810400	100%	-



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(ii) Shareholding of Promoters

Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% changes in shareholding during the year
	No. of shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
NTPC Limited along with its Joint holders	3609810400	100%	-	3609810400	100%	-	-

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

NTPC LIMITED	Shareholding at the beginning of the year			Cumulative Shareholding during the year		Reason
	Date	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	
At the beginning of the year	01.04.2020	360,98,10,400	100%	360,98,10,400	100%	
Date wise increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc.)	-	-	-	-	-	
At the End of the year	31.03.2021			360,98,10,400	100%	

There has been no change in the Promoters' shareholding during the Financial year 2020-21.

(iv) Shareholding Pattern of top ten Shareholder (other than Directors, Promoters and Holders of GDRs and ADRS):

For each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	Nil	Nil	Nil	Nil
Date wise Increase/ decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc).	Nil	Nil	Nil	Nil
At the End of the year (or on the date of separation, if separated during the year).	Nil	Nil	Nil	Nil



(V) Shareholding of Directors and Key Managerial Personnel.

Shareholding of Directors & Key Managerial Personnel	Shareholding at the beginning of the year		Transaction during the year			Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	Date	Increase / Decrease In share-holding	Reason	No. of shares	% of total shares of the company
1 Shri Vinod Kumar Singh	Nil	Nil	01-04-2020	-		Nil	Nil
	Nil	Nil	31-03-2021	-		Nil	Nil
2 Shri Anil Kumar	Nil	Nil	01-04-2020	-		Nil	Nil
	Nil	Nil	31-03-2021	-		Nil	Nil
3 Shri Hemanta Kumar Deka [§]	N/A	N/A	01-04-2020	-		N/A	N/A
	Nil	Nil	31-03-2021	-		Nil	Nil
4 Shri Anil Kumar Gautam [§]	N/A	N/A	01-04-2020	-		N/A	N/A
	Nil	Nil	31-03-2021	-		Nil	Nil
5 Shri Ujjwal Kanti Bhattacharya [§]	N/A	N/A	01-04-2020	-		N/A	N/A
	Nil	Nil	31-03-2021	-		Nil	Nil
6 Shri Raj Pal	Nil	Nil	01-04-2020	-		Nil	Nil
	Nil	Nil	31-03-2021	-		Nil	Nil
7 Smt. Mala Sinha	Nil	Nil	01-04-2020	-		Nil	Nil
	Nil	Nil	31-03-2021	-		Nil	Nil
8 Shri B. Maharana, [§] Chief Financial Officer	N/A	N/A	01-04-2020	-		N/A	N/A
	Nil	Nil	31-03-2021	-		Nil	Nil
9 Shri Chiranjeeb Sharma Company Secretary	Nil	Nil	01-04-2020	-		Nil	Nil
	Nil	Nil	31-03-2021	-		Nil	Nil

Ceased during the year.

§ Appointed during the year.

VI. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

₹ in Lakhs

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	705148.75	98435.83		803584.58
ii) Interest due but not paid				0.00
iii) Interest accrued but not due	6647.57	361.00		7008.57
Total (i+ii+iii)	711796.32	98796.83	0.00	810593.15
Change in Indebtedness during the financial year				
Addition ::				
Principal	159174.67	17600.00		176774.67
Interest accrued but not due	6752.78	332.70		7085.48
Reduction ::				
Principal	176540.97	18751.92		195292.89
Interest accrued but not due	6647.57	361.00		7008.57
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	694430.02	97644.91		792074.93
ii) Interest due but not paid				
iii) Interest accrued but not due	6752.78	332.70		7085.48
Total (i+ii+iii)	701182.80	97977.61		799160.41



VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-Time Directors.

(Amount in ₹)

Sl.	Particulars of Remuneration	Name of MD / WTD			Total Amount
		Shri V. K. Singh	Shri Anil Kumar	Shri Hemanta Deka *	
1	Gross salary	70,69,260	62,92,206	42,07,215	1,75,68,681
	Salary as per provisions contained in section 17(1) of the Income tax Act, 1961				
	Value of perquisites u/s 17(2) Income tax Act, 1961				
	Profits in lieu of salary under section 17(3) Income Tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission				
	as % of profit				
	Others specify				
5	Others please specify				
	Total(A)	70,69,260	62,92,206	42,07,215	1,75,68,681
	Ceiling as per the Act				

* Appointed during the year

B. Remuneration to other directors:

(Amount in ₹)

	Particulars of Remuneration	Name of the Directors				Total Amount
		Prof. Bupinder Zutshi #	Dr. Hari Narayan Borkataky #	Shri Saikhom Tikendra Singh #	Smt. Mala Sinha	
1	Independent Directors					
	- fee for attending board / committee meetings	94,400	1,18,000	3,06,800	2,83,200	8,02,400
	• Commission					
	• Others, please specify					
	Total(1)	94,400	1,18,000	3,06,800	2,83,200	8,02,400
2	Other Non-executive Directors					
	• Fee for attending board / committee meetings					
	• Commission					
	• Others, please specify					
	Total (2)					
	Total (B) = (1+2)					
	Total Managerial Remuneration					
	Overall ceiling as per the act					

Ceased during the year



C. REMUNERATION TO KEY MANAGERIAL PERSONNEL; OTHER THAN MD/ WTD

(Amount in ₹)

Sl. No	Particulars of remuneration	Key Managerial Personnel			
		CEO	Company Secretary	Chief Financial Officer	Total
			Shri Chiranjeeb Sharma	Shri Baidyanath Maharana *	
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961				
	(b) Value of perquisites u/s 17(2) Income tax Act, 1961		42,37,900	37,82,957	80,20,857
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961				
2.	Stock Option				
3.	Sweat equity				
4.	Commission - as % of profit - others, specify				
5.	Others, please specify				
	Total		42,37,900	37,82,957	80,20,857

* Appointed as Chief Financial Officer (CFO) during the year.

PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES :

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD / NCLT/ Court)	Appeal made, if any (give Details)
A. Company					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
B. Directors					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
C. Other Officers in default					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

For and on behalf of the Board of Directors

(Vinod Kumar Singh)
Chairman & Managing Director
DIN: 07471291

Dated: 26-08-2021
Place: New Delhi