#### **ANNEXURE -1**

# Form No. MGT-9 EXTRACT OF ANNUAL RETURN As on the financial year ended on 31-03-2021

Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and administration) Rules, 2014

I. REGISTRATION AND OTHER DETAILS

	EGISTRATION AND OTHER DETAILS	
i)	CIN	U40101ML1976GOI001658
ii)	Registration Date	02-04-1976
iii)	Name of the Company	NORTH EASTERN ELECTRIC POWER
		CORPORATION LIMITED
iv)	Category / Sub-Category	Government Company
V)	Address of the Registered office and contact	Brookland Compound,
	details	Lower New Colony,
		Shillong – 793 003,
-		Meghalaya
		Telephone : (0364) 2308470
vi)	Whether listed company Yes / No	Equity Shares not listed.
	, ,	PSU Bonds are listed in BSE Limited
vii)	Name, Address and Contact details of Registrar	Equity – Not applicable.
	and Transfer Agent, if any	Bonds – KFin Technologies Private Limited
		Karvy Selenium Tower B,
		Plot number 31 & 32,
		Financial District Gachibowli
		Hyderabad 500 032
viii)	Web Address	www.neepco.co.in

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

SI.	Name and Description of main products	NIC Code of the	% of total turnover
No.	/ services	Product / service	of the company
1	Generation of Power	351	100%

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SI. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Section
1	NTPC Limited, NTPC Bhawan, SCOPE Complex, 7 Institutional Area, Lodhi Road, New Delhi - 110003	L40101DL1975GOI007966	Holding	100%	2(46)
2	KSK Dibbin Hydro Power Private Limited, 8-2-293/82/A/431/A, ROAD NO.22, JUBILEE HILLS, HYDERABAD TG 500033 IN	U40108TG2007PTC053501	Associate	30%	2(6)



IV.SHARE HOLDING PATTERN (Equity Share capital Breakup as percentage of Total Equity)

Category of Shareholders		No. of shares held at the beginning of the year			No. of shares held at the end of the year				% change during the year	
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
Α.	Promoters				Onarco				Ondico	
	Indian	1777	1.55	a takan			100	2 44 40 3 4		1,514.5
	Individual / HUF		1. 1. 1. 1.1.						1	
	Central Govt.				1.	*				
	State Govt.	in Andrews	2.00	40 Th 30	* .					
	Bodies Corp	3609810400	- '	3609810400	100%	3609810400		3609810400	100%	
	Banks / FI				1 1	* * * *			* *	
	Any other	2000040400	1 14	2000010100	4000/	2000040400		200040400	4009/	
Sun	o-total (A) (1)	3609810400	-	3609810400	100%	3609810400	- : :	3609810400	100%	N 1
(2)	Foreign					·				
	NRIs – Individuals	200		7 6 4 440		1350	:			11.
	Other Individuals	1.15 (1.15)	V 1543	1, 18 1 1 1 1 1	7.1				*,	1,71%
	Bodies Corp.	No. of each							1.14.3	1 N 1 N
	Banks / FI	safar ara	1 4 1	The state of the A			1 25 1 25 1	7 - 4 - 4 - 2	1.54.111	4111
	Any other	100	1, 3, 7 - 3	316.20.6.33.4	1 1 1 1		1 4 5		20 S S	18.70
	o-total (A)(2)	1 11 11	1 1 1 1 1	4.5	1 1					
17,11	generalis no el mener el el	3 -1 - 1 - 1	1 1 1 1 1 1	1						1 4
	ublic Shareholding	1.11 ( ) ( )		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	31 L 3 S	Andrew A	11.	- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<u> </u>	
	Institutions	1 1 1 1				14 ( 13			<u> </u>	1.5%
	Mutual Funds			Mark Janes	1000	<u> </u>			<u> </u>	- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	Banks / FI	1 45 14				8				
	Central Govt.				1 1				* * * * * * * * * * * * * * * * * * * *	
	State Govt.				ļ					
1 2	Venture Capital Funds						13. 3			4 8.3
g etc	Insurance Companies									
	FIIS			+ 12 + 12 +		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				2 1 1
	Foreign Venture Capital Funds									
i)	Others (specify)		10.00	2 33.55				The State	4 + 14	
Sub	o-total (B)(1)		100							
~	Nam landitutions		1 1 1 1 1 1 1				A 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4			
	Non-Institutions Bodies Corp.									
	Indian									
	Overseas									1 1 1
	Individuals									10.00
i)	Individual						. 4 .		er a de et	7.1
7	shareholders						1.5			
	holding nominal									
	share capital upto									
HI.	₹1 lakh		1 4 4 4							
iii)			12.4	4.5	1.5			Na. Pinish	1.4.7	1.0
s	hareholders holding									
	ominal share capital		100							
	excess of ₹1 lakh	The Notes	11 4 4 1	- 1 a		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			1 1 2 2 2	
	o-total (B)(2)	A	2 3 32 4		1 1 1 1 1 1 1	45, 50, 43, 43, 44	1111	Marie A. F.	1 1	
「otal	Public shareholding									
^	(B)=(B)(1)+(B)(2)	<u> </u>				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			3 25	· · · · ·
C.	Shares held by Custodian for							Ⅰ 크림의 삶		
	GDRs & ADRs Ind Total (A+B+C)	3609810400		3609810400	100%	3609810400		3609810400	100%	



#### (ii) Shareholding of Promoters

	Shareholding	at the beginn	ning of the year	Sharehold	ling at the en	d of the year	-
Shareholder's Name	No. of shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of shares	Shares of the	Pledged / encumbered	
NTPC Limited along with its Joint holders	3609810400	100%	11.	3609810400	100%		-

#### (iii) Change in Promoters' Shareholding (please specify, if there is no change)

NTPC LIMITED		Shareholding beginning of t		Cumulative Sh during the		
NTI O ELIMITED	Date	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	Reason
At the beginning of the year	01.04.2020	360,98,10,400	100%	360,98,10,400	100%	
Date wise increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/sweat equity etc.)						
At the End of the year	31.03.2021			360,98,10,400	100%	

There has been no change in the Promoters' shareholding during the Financial year 2020-21.

(iv) Shareholding Pattern of top ten Shareholder (other than Directors, Promoters and Holders of GDRs and ADRS):

	Shareholding at of the		Cumulative Shareholding during the year		
For each of the Top 10 Shareholders	No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company	
At the beginning of the year	Nil	Nil	Nil	Nil	
Date wise Increase/ decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc).	Nil	Nil	Nil	Nil	
At the End of the year (or on the date of separation, if separated during the year).	Nil	Nil	Nil	Nil	



Shareholding of Directors and Key Managerial Personnel

	Shareholding of Directors & Key Managerial Personnel		olding at inning of year	Transaction during the year			Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	Date	Increase / Decrease In share- holding	Reason	No. of shares	% of total shares of the company
1	Shri Vinod Kumar Singh	Nil	Nil	01-04-2020	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		Nil	Nil
		Nil	Nil	31-03-2021	-	100	Nil	Nil
2	Shri Anil Kumar	Nil	Nil	01-04-2020	<u>-</u>		Nil	Nil
		Nil	Nil	31-03-2021		4.4	Nil	Nil
3	Shri Hemanta Kumar Deka <sup>\$</sup>	N/A	N/A	01-04-2020	-		N/A	N/A
		Nil	Nil	31-03-2021	_		Nil	Nil
4	Shri Anil Kumar Gautam \$	N/A	N/A	01-04-2020	-	11.	N/A	N/A
		Nil	Nil	31-03-2021	-		Nil	Nil
5	Shri Ujjwal Kanti Bhattacharya <sup>§</sup>	N/A	N/A	01-04-2020			N/A	N/A
		Nil	Nil	31-03-2021	-		Nil	Nil
6	Shri Raj Pal	Nil	Nil	01-04-2020	-		Nil	Nil
		Nil -	Nil	31-03-2021	-		Nil	Nil
7	Smt. Mala Sinha	Nil	Nil	01-04-2020	_		Nil	Nil
		Nil	Nil	31-03-2021		1 1/4	Nil	Nil
8	Shri B. Maharana, \$	N/A	N/A	01-04-2020	_		N/A	N/A
-	Chief Financial Officer	Nil	Nil	31-03-2021	· <u>-</u> -	* * * * * *	Nil -	Nil
9	Shri Chiranjeeb Sharma	Nil	Nil	01-04-2020	-	- 1 1 1 1	Nil	Nil
	Company Secretary	Nil	Nil	31-03-2021			Nil	Nil

#### VI. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	- In Commence of the Commence		₹	in Lakhs
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	705148.75	98435.83		803584.58
ii) Interest due but not paid				0.00
iii) Interest accrued but not due	6647.57	361.00		7008.57
Total (i+ii+iii)	711796.32	98796.83	0.00	810593.15
Change in Indebtedness during the financial year				
Addition ::				alle e di ede lesse
Principal	159174.67	17600.00		176774.67
Interest accrued but not due	6752.78	332.70		7085.48
Reduction ::				a a specially
Principal De the Association of the Principal Company of the Principal	176540.97	18751.92		195292.89
Interest accrued but not due	6647.57	361.00		7008.57
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	694430.02	97644.91		792074.93
ii) Interest due but not paid				
iii) Interest accrued but not due	6752.78	332.70		7085.48
Total (i+ii+iii)	701182.80	97977.61		799160.41

<sup>#</sup> Ceased during the year.
\$ Appointed during the year.



#### REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### A. Remuneration to Managing Director, Whole-Time Directors.

(Amount in ₹)

			nount in V	
Particulars of Remuneration	Na	me of MD / WTD		
	Shri V. K. Singh	Shri Anil Kumar	Shri Hemanta Deka *	Total Amount
Gross salary	70,69,260	62,92,206	42,07,215	1,75,68,681
Salary as per provisions contained in section 17(1) of the Income tax Act,1961				
Value of perquisites u/s 17(2) Income tax Act,1961				
Profits in lieu of salary under section 17(3) Income Tax Act, 1961				
Stock Option				
Sweat Equity				
Commission		1 1 1 1 1 1 1 1 1 1 1 1 1	1	
as % of profit		and the second second		100
Others specify				
Others please specify				
Total(A)	70,69,260	62,92,206	42,07,215	1,75,68,681
Ceiling as per the Act	naka baratan			
	Gross salary Salary as per provisions contained in section 17(1) of the Income tax Act, 1961  Value of perquisites u/s 17(2) Income tax Act, 1961  Profits in lieu of salary under section 17(3) Income Tax Act, 1961  Stock Option  Sweat Equity  Commission  as % of profit  Others specify  Others please specify  Total(A)	Gross salary 70,69,260  Salary as per provisions contained in section 17(1) of the Income tax Act,1961  Value of perquisites u/s 17(2) Income tax Act,1961  Profits in lieu of salary under section 17(3) Income Tax Act, 1961  Stock Option  Sweat Equity  Commission  as % of profit  Others specify  Others please specify  Total(A)  70,69,260	Particulars of Remuneration  Shri V. K. Singh Shri Anil Kumar  Gross salary 70,69,260 62,92,206  Salary as per provisions contained in section 17(1) of the Income tax Act,1961  Value of perquisites u/s 17(2) Income tax Act,1961  Profits in lieu of salary under section 17(3) Income Tax Act, 1961  Stock Option Sweat Equity Commission as % of profit Others specify Others please specify Total(A)  70,69,260  Rame of MD / WTD Shri Anil Kumar  62,92,206	Name of MD / WTD

<sup>\*</sup> Appointed during the year

#### B. Remuneration to other directors:

(Amount in ₹)

	Particulars of		Name of the D	irectors		
	Remuneration	Prof. Bupinder Zutshi #	Dr. Hari Narayan Borkataky *	Shri Saikhom Tikendra Singh #	Smt. Mala Sinha	Total Amount
1	Independent Directors			na di terberah 1	THE SHARE HERE	
	- fee for attending board / committee meetings	94,400	1,18,000	3,06,800	2,83,200	8,02,400
	Commission		Service of the service			1.00
	Others, please specify		\$49.40.600			14,714,434,532
	Total(1)	94,400	1,18,000	3,06,800	2,83,200	8,02,400
2	Other Non-executive Directors					
	Fee for attending board / committee meetings					
	Commission				eletak elipereta	1 3 1/2 1/2 1/2
	<ul> <li>Others, please specify</li> </ul>					
	Total (2)					
1	Total (B) = (1+2)		Reference in explanation	Taley Refer to grant		
- 13 - 4	Total Managerial Remuneration					
	Overall ceiling as per the act					

<sup>#</sup>Ceased during the year



#### C. REMUNERATION TO KEY MANAGERIAL PERSONNEL; OTHER THAN MD/ WTD

(Amount in ₹)

SI.	Particulars of remuneration	Key Managerial Personnel					
No ·		CEO	Company Secretary	Chief Financial Officer	and the second second second		
			Shri Chiranjeeb Sharma	Shri Baidyanath Maharana	Total		
1.	Gross salary						
	(a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961	er Leisen eine Austra					
	(b) Value of perquisites u/s 17(2) Income tax Act, 1961		42,37,900	37,82,957	80,20,857		
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961						
2	Stock Option						
3.	Sweat equity						
4.	Commission - as % of profit - others, specify						
5.	Others, please specify	4 - 5 - 5					
113.	Total applications are applications and a		42,37,900	37,82,957	80,20,857		

<sup>\*</sup>Appointed as Chief Financial Officer (CFO) during the year.

#### PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Туре	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD / NCLT/ Court)	Appeal made, if any (give Details)
A. Company					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
			este de la factoria	arthur file.	
B. Directors					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
C. Other Officers in default					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

For and on behalf of the Board of Directors

INOD (UMAR INGH

(Vinod Kumar Singh) Chairman & Managing Director DIN: 07471291

Dated: 26-08-2021 Place: New Delhi